

07-02-01

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j1840 U.S. PTO  
09/09/5791  
06/29/01

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Only for new nonprovisional applications under 37 CFR 1.53(b))**

Pocket No. : 41994/MJM/C766  
Inventor(s) : RICHARD C. FLAGAN, HARRY A. ATWATER  
MICHELE L. OSTRAAT  
Title : AEROSOL PROCESS FOR FABRICATING DISCONTINUOUS  
FLOATING GATE MICROELECTRONIC DEVICES  
Express Mail Label No. : EL483650603US

**ADDRESS TO:** Assistant Commissioner for Patents

Box Patent Application  
Washington, D.C. 20231

Date: June 29, 2001

1.  **FEE TRANSMITTAL FORM** (*Submit an original, and a duplicate for fee processing.*)

2. **IF A CONTINUING APPLICATION**

This application is a of patent application No. .

Prior application information: Examiner ; Group Art Unit:

This application claims priority pursuant to 35 U.S.C. §119(e) and 37 CFR §1.78(a)(4),  
to provisional Application No. 60/215,390, filed June 29, 2000; provisional Application  
No. 60/215,400, filed June 29, 2000.

3. **APPLICATION COMPRISED OF**

**Specification**

30 Specification, claims and Abstract (total pages)

**Drawings**

9 Sheets of formal drawing(s) (FIGS. 1 to 17)

**Declaration and Power of Attorney**

Newly executed

Unexecuted declaration

Copy from a prior application (37 CFR 1.63(d))(for continuation and divisional)

4.  **Microfiche Computer Program (Appendix)**

5.  **Nucleotide and/or Amino Acid Sequence Submission** (*if applicable, all necessary*)

Computer Readable Copy

Paper Copy (identical to computer copy)

Statement verifying identity of above copies

6. **APPLICANT(S) STATUS UNDER 37 CFR § 1.27**

Applicant(s) and any others associated with it/them under § 1.27(a) are a SMALL ENTITY

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Docket No.: 41994/MJM/C766

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**7. ALSO ENCLOSED ARE**

- Preliminary Amendment
- A Petition for Extension of Time for the parent application and the required fee are enclosed
- An Assignment of the invention with the Recordation Cover Sheet and the recordation fee are enclosed
- This application is owned by pursuant to an Assignment recorded at Reel , Frame Information Disclosure Statement (IDS)/PTO-1449
- Copies of IDS Citations
- Certified copy of Priority Document(s) (*if foreign priority is claimed*)
- English Translation Document (*if applicable*)
- Return Receipt Postcard (MPEP 503) (should be specifically itemized).
- Other

**8. CORRESPONDENCE ADDRESS**

**CHRISTIE, PARKER & HALE, LLP, P.O. BOX 7068, PASADENA, CA 91109-7068**  
**Customer Number: 23363**

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By   
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Mark J. Marcelli  
Reg. No. 36,593  
626/795-9900

MJM/rlm

**FEE TRANSMITTAL  
UTILITY PATENT APPLICATION**

**DATE: June 29, 2001**

Docket No. : 41994/MJM/C766  
Inventor(s) : RICHARD C. FLAGAN, HARRY A. ATWATER & MICHELE L. OSTRAAT  
Title : AEROSOL PROCESS FOR FABRICATING DISCONTINUOUS FLOATING GATE MICROELECTRONIC DEVICES      Duplicate       

**FEE DETERMINATION**

| CLAIMS AS FILED               |              |              |                   |                   |          |
|-------------------------------|--------------|--------------|-------------------|-------------------|----------|
|                               | NUMBER FILED | NUMBER EXTRA | SMALL ENTITY RATE | LARGE ENTITY RATE | Fee      |
| TOTAL CLAIMS                  | 44 - 20      | 24           | 24 x \$9.00       | x \$18.00         | \$216.00 |
| INDEPENDENT CLAIMS            | 4 - 3        | 1            | 1 x \$40.00       | x \$80.00         | \$40.00  |
| MULTIPLE-DEPENDENT CLAIMS FEE |              |              | \$135.00          | \$270.00          |          |
| BASIC FEE                     |              |              | \$355.00          | \$710.00          | \$355.00 |
|                               |              |              |                   | TOTAL FILING FEE  | \$611.00 |

List Independent Claims: 1,36, 40 and 44

**METHOD OF PAYMENT**

No filing fee enclosed

No Deposit Account Authorization.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By   
Mark J. Marcelli  
Reg. No. 36,593  
626/795-9900

MJM/rlm  
RLM PAS361276.1-\* 6/29/01 5.57 PM